

**TRANSMITTAL  
FORM**

(to be used for all correspondence after initial filing)

Total Number of Pages in This Submission 5

Application Number	10/718,953
Filing Date	November 21, 2003
First Named Inventor	COLLINS
Art Unit	Unknown
Examiner Name	Unknown
Attorney Docket No.	KPG-5098US

**ENCLOSURES (Check all that apply)**

- |   |  |   |
|---|--|---|
| <input type="checkbox"/> Fee Transmittal Form<br><input type="checkbox"/> Fee Attached<br><br><input type="checkbox"/> Amendment/Reply<br><input type="checkbox"/> After Final<br><input type="checkbox"/> Affidavits/Declaration(s)<br><br><input type="checkbox"/> Extension of Time Request<br><br><input type="checkbox"/> Express Abandonment Request<br><br><input checked="" type="checkbox"/> Information Disclosure Statement<br><br><input type="checkbox"/> Certified Copy of Priority Document(s)<br><br><input type="checkbox"/> Response to Missing Parts/<br>Incomplete Application<br><br><input type="checkbox"/> Response to Missing Parts under<br>37 CFR 1.52 or 1.53 | <input type="checkbox"/> Drawing(s)<br><input type="checkbox"/> Licensing-related Papers<br><input type="checkbox"/> Petition<br><input type="checkbox"/> Petition to Convert to a<br>Provisional Application<br><input type="checkbox"/> Power of Attorney, Revocation,<br>Change of Correspondence<br>Address<br><input type="checkbox"/> Terminal Disclaimer<br><input type="checkbox"/> Request for Refund<br><input type="checkbox"/> CD, Number of CD(s) _____ | <input type="checkbox"/> After Allowance Communication<br>to Group<br><input type="checkbox"/> Appeal Communication to Board<br>of Appeals and Interferences<br><input type="checkbox"/> Appeal Communication to Group<br>(Appeal Notice, Brief, Reply<br>Brief)<br><input type="checkbox"/> Proprietary Information<br><input type="checkbox"/> Status Letter<br><input type="checkbox"/> Other Enclosure(s) (please<br>identify below): |
|---|--|---|

**Remarks:****SIGNATURE OF APPLICANT, ATTORNEY OR AGENT**Firm or  
Individual

Bruce M. Monroe

Registration No. (Attorney/Agent)

33,602

Signature

Date

January 27, 2004

**CERTIFICATE OF TRANSMISSION / MAILING**

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January 27, 2004

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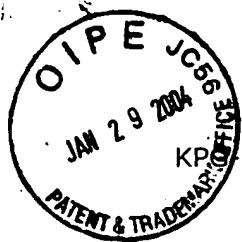
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January 27, 2004

This collection of information is required by 37 CFR 1.5. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, ALEXANDRIA, VA 22313-1450.

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KP 075098US

PATENT

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

Appln. No: 10/718,953  
Applicant: COLLINS et al  
Filed: November 21, 2003  
Title: METHOD FOR FORMING IMAGES USING NEGATIVE WORKING IMAGEABLE  
ELEMENTS  
TC/A.U.: Unknown  
Examiner: Unknown

**INFORMATION DISCLOSURE STATEMENT**

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Sir:

Pursuant to 37 C.F.R. §§ 1.97 and 1.98 and to the duty of disclosure set forth in 37 C.F.R. § 1.56, the Examiner in charge of the above-identified application is requested to consider and make of record the references listed on the PTO 1449 (R&P) submitted herewith. Copies of each of the listed references, excluding the US references, are also enclosed.

Although the information submitted herewith may be "material" to the Examiner's consideration of the subject application, this submission is not intended to constitute an admission that such information is "prior art" as to the claimed invention.

In accordance with 37 C.F.R. § 1.97(g), the filing of this Information Disclosure Statement shall not be construed to mean that a search has been made.

The Examiner's attention is called to the following copending applications.

- 1) "Method for Forming a Lithographic Printing Plate," Serial Number 10/336,595, filed January 3, 2003, pending in Group Art Unit 1752, Examiner unknown.
- 2) "Imageable Elements with Improved Dot Stability" Serial Number 10/384,988, filed March 10, 2003, Group Art Unit unknown, Examiner unknown.
- 3) "Infrared Absorbing Compounds and Their Use in Imageable Elements" Serial Number 10/353,106, filed January 27, 2003, Group Art Unit 1752, Examiner unknown.

4) "Thermally Imageable Element Imageable at Several Wavelength" Serial Number 10/409,301, filed April 7, 2003, Group Art Unit unknown, Examiner unknown.

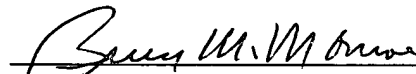
5) "Polymeric Acetal Resins Containing Free Radical Inhibitors and Their Use in Lithographic Printing" Serial Number 10/117,505, filed April 5, 2002, Group Art Unit 1752, Examiner Unknown.

6) "Selected Acid Generating Agents and Their Use in Processes for Imaging Radiation-Sensitive Elements" Serial Number 10/155,696, filed May 24, 2002, Group Art Unit 1752, Examiner Unknown.

7) "Infra Red Absorbing Compounds and Their Use in Photoimageable Elements" Serial Number 10/384,989, filed March 10, 2003, Group Art Unit 1756, Examiner Unknown.

This Information Disclosure Statement is being filed within three months of the filing date of the above-referenced application or before mailing of the first Official Action. No first Official Action has yet been received and it is presumed that none has yet been mailed. No fee or statement is required. 37 C.F.R. § 1.97(b).

Respectfully submitted,

  
Bruce M. Monroe, Reg.No. 33,602  
Attorney for Applicant

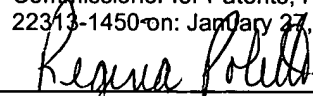
Enclosures: PTO Form 1449  
(5) References  
Transmittal form

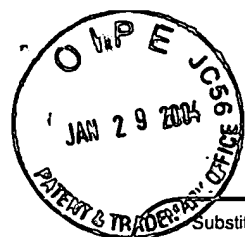
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(302) 778-2500

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Regina Poletti



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Substitute for Form 1449/PTO

## INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(Use as many sheets as necessary)

SHEET 1 of 2

### Complete if Known

Application Number	10/718,953
Filing Date	November 21, 2003
First Named Inventor	COLLINS
Art Unit	Unknown
Examiner Name	Unknown
Attorney Docket No.	KPG-5098US

### U.S. PATENT DOCUMENTS

Examiner Initials*	Cite No. <sup>1</sup>	Document Number Number - Kind Code <sup>2</sup> (if known)	Publication Date (MM-DD-YYYY)	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		US-4,708,925	11/24/1987	NEWMAN	
		US-5,372,907	12/13/1994	HALEY et al	
		US-5,543,262	8/6/1996	SYPEK et al	
		US-5,700,619	12/23/1997	BAUMANN et al	
		US-5,763,134	6/9/1998	BUSMAN et al	
		US-5,919,601	7/6/1999	NGUYEN et al	
		US-5,965,319	10/12/1999	KOBAYASHI	
		US-6,358,669	3/19/2002	SAVARIAR-HAUCK et al	
		US-6,391,519	5/21/2002	KUNITA	
		US-6,525,152	2/25/2003	JAREK	
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### FOREIGN PATENT DOCUMENTS

Examiner Initials*	Cite No. <sup>1</sup>	Foreign Patent Document Country Code <sup>3</sup> - Number <sup>4</sup> - Kind Code <sup>5</sup> (if known)	Publication Date (MM-DD-YYYY)	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T <sup>6</sup>
		WO 00/17711	3/30/2000			<input type="checkbox"/>
						<input type="checkbox"/>
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						<input type="checkbox"/>
						<input type="checkbox"/>
						<input type="checkbox"/>

Examiner  
Signature

Date  
Considered

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to Applicant.

<sup>1</sup>Applicant's unique citation designation number (optional).

<sup>2</sup>See Kind Codes of USPTO Patent Documents at [www.uspto.gov](http://www.uspto.gov) or MPEP 901.04.

<sup>3</sup>Enter Office that issued the document, by the two-letter code (WIPO Standard St.3).

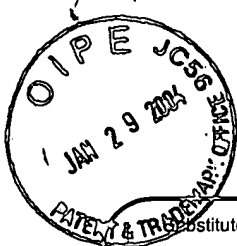
<sup>4</sup>For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document.

<sup>5</sup>Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible.

<sup>6</sup>Applicant is to place a check mark here if English language translation is attached.

The collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. **SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.**

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INFORMATION DISCLOSURE  
STATEMENT BY APPLICANT

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SHEET 2 of 2

Complete if Known

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Filing Date	November 21, 2003
First Named Inventor	COLLINS
Art Unit	Unknown
Examiner Name	Unknown
Attorney Docket No.	KPG-5098US

NON-PATENT LITERATURE DOCUMENTS

Examiner Initials*	Cite No. <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published	T <sup>2</sup>
		FRYER et al, Study of Acid Diffusion in Resist Near the Glass Transition Temperature, Journal, 1999, pgs. 3351-3355, Vol. 17(6)	<input type="checkbox"/>
		ARAI et al, A Sensitive Positive Resist for 0.1-μm Electron-Beam Direct-Writing Lithography, Journal, 1997, pgs. 625-628, Vol. 10(4)	<input type="checkbox"/>
		THACKERAY et al, Following the Acid: Effect of Acid Surface Depletion on Phenolic Polymers, Journal, 1995, pgs. 110-123, Vol. 614	<input type="checkbox"/>
		SAKAMIZU et al, Proceedings of SPIE - The International Society for Optical Engineering, Journal, 1997, pgs. 448-458, (Abstract)	<input type="checkbox"/>
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Examiner  
Signature

Date  
Considered

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to Applicant.

<sup>1</sup>Applicant's unique citation designation number (optional).

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